
9. MODULE NOM 036-1 [MEXICO]

- Introduction
- Data
- Report



INTRODUCTION

Scope of application. The NOM 036-1 module makes it possible to estimate the risk of tasks in which the worker carries out operations that involve carrying, lifting, pushing, lowering, dragging, placing or stowing loads weighing more than 3 kg.

The estimate will be made using different tools depending on the type or types of task being carried out:

- Static tasks of lifting and lowering loads.
- Tasks involving manual lifting and carrying of loads.
- Tasks involving lifting and lowering of loads between several persons.
- Moving loads without wheels.
- Moving loads on wheeled equipment.

The application of the module is foreseen as a **simple estimation of the level of risk**¹ (Rapid assessment: initial assessment of the conditions under which manual handling of loads is carried out, in order to identify, in a qualitative way, the level of risk to which the workers are exposed). When the result of this quick assessment does not allow to determine the level of risk or acceptable conditions and/or when despite the implementation of corrective measures, a hazard still exists, a **specific assessment** using quantitative methods (e.g. Simple/Multiple/Variable MMH modules) is necessary².

This module is based on an official standard of the United Mexican States and is therefore applicable only in this area³.

¹ As specified in article 7.3 of the Official Mexican Standard NOM-036-1-STPS-2018

² Companies may apply a specific assessment directly, in which case the estimation of the level of risk proposed in this module will not be necessary.

³ In the event that a similar methodology is to be applied in other areas outside the United Mexican States, the HSE (MAC/RAPP) module can be used.

Contents. The process for implementing this module basically consists of the following steps:

- Enter the descriptive data of the task.
- Define the profile of workers to whom the task applies (gender and age).
- Enter information on the characteristics of the analysed tasks in the "Analysis Sheet".⁴
- Add all subtasks where manual handling of loads is performed, identifying the type of task involved (lifting, carrying, team lifting, pushing/pulling without auxiliary equipment or pushing/pulling with auxiliary equipment).
- Enter the required data in each of the subtasks.
- For each subtask, a risk level and the elements of the activity that require the most attention are provided.

Origen. The module is an application of the "Norma Oficial Mexicana NOM-036-1-STPS-2018, Factores de riesgo ergonómico en el Trabajo-Identificación, análisis, prevención y control. Parte 1: Manejo manual de cargas".

⁴ Information required for the report in accordance with Article 7.4 of the Official Mexican Standard NOM-036-1-STPS-2018

DATA

The analysis starts by selecting the **NOM 036-1 (Mexico)** module in the New Task window (Figure 1).

This opens the main module window (Figure 2) which contains the following:

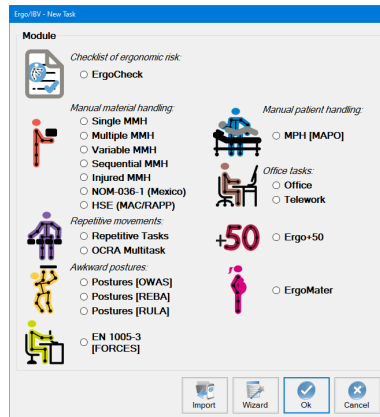


Figure 1: Access to module NOM-036-1 (Mexico)

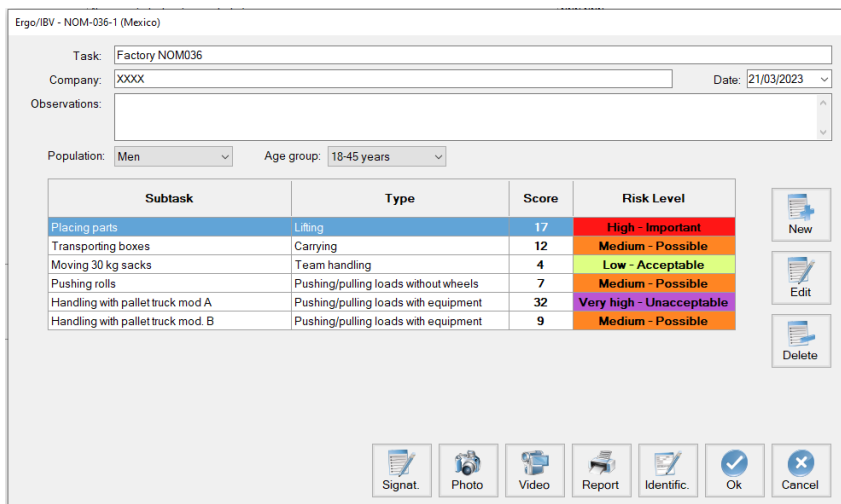


Figure 2: NOM-036-1 (Mexico) - Main window

- **Identification.** The following identification data of the case are entered in the heading:
 - **Task.** Assign a name to the task that is being analyzed.
 - **Company.** Enter the name of the company or work center where the task is performed.
 - **Date.** Specify the date when the analysis is carried out.
 - **Observations.** Note any clarifications on the case (details of the task, company department, video recorded, etc.).
- **Population** considered in the analysis: Select between "men" or "women".
- **Age group** considered in the analysis: Select between "Under 18", "Between 18 and 45" or "Over 45".
 - *The variables "population" and "age group" set the maximum limits of mass that can be handled (lifted/lowered and/or carried) by a single person. Regardless the result of the assessment, the following maximum limits for age and gender shall not be exceeded.*

Maximum mass (kg)	Gender	Age
7	Male/Female	Under 18
15	Female	Over 45
20	Female	Between 18 and 45
20	Male	Over 45
25	Male	Between 18 and 45

- **Subtasks.** Each of the subtasks under analysis are displayed.
 - By clicking on the New button, it is possible to create a subtask.
 - The Delete button allows you to delete the subtask selected in the list (asking for confirmation beforehand).
 - To modify the name of a subtask, write directly on the corresponding field.
 - By clicking on the Edit button, you can access the data screen corresponding to each subtask.

Additional features.

In the bottom bar of the main window there are several options common to all Ergo/IBV modules and additional NOM036-1 specific features:



Identification: A window opens where, if desired, information can be added to further characterise the job, task or activity under analysis. The fields included in the tab are the following⁵:

- Workplace data
- Name of the activity.
- Description of the activity.
- Workers involved.
- Frequency of the activity.
- Duration of activities.
- Conclusions resulting from the identification and analysis
- Recommendations and actions for prevention and/or control
- Details of the person responsible for the elaboration
- Observations on the analysis

Below is a description of how to create new subtasks and how to enter the information associated with each subtask.

Create new subtask

By clicking on the **New** button, it is possible to create a subtask. This button opens a window where the type of subtask is selected (Figure 3), giving access to the main window of the subtask in question, where the corresponding variables can be introduced according to the subtask selected.

⁵ All fields included in this tab are optional. Only those fields in which information has been entered will appear in the report.

The available options (types of subtasks) are the following:

- Lifting operations.
- Carrying operations.
- Team handling operations.
- Pushing or pulling loads without equipment.
- Pushing or pulling loads on wheeled equipment.

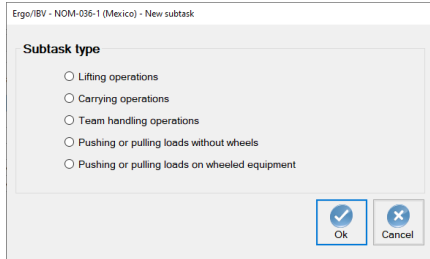


Figure 3: NOM-036-1 (Mexico) - New Subtask selection window

Subtask data

The data window, which is accessed after the subtask has been created or via the Edit button, is automatically headed with the type of the selected subtask. In addition, in the header of this window, you can enter remarks for the subtask: you specify any clarifications you consider important about the subtask (Figure 4):

The data required for the analysis of the subtask are collected in the **Data** tab. The data to be recorded will depend on the type of subtask selected:

Data - Lifting operations.

Ergo/IBV - NOM-036-1 (Mexico) - Lifting

Subtask:

Observations: (subtask)

Data Results

Load weight (kg) Frequency (lifts/hour):

Hand distance from the lower back

Close Moderate. Upper arms away Moderate. Torso bent forward Far

Vertical lift zones

Between knee and elbow Below knee or above elbow Floor level or below Head height or above

Torso twisting and sideways bending

Little or no Twisted OR bent sideways Twisted AND bent sideways

Postural constraints

Grip on the load

Floor surface

Environmental factors

Video Ok Cancel

Figure 4: NOM-036-1 (Mexico) - Subtask data - Lifting / lowering of loads

- **Load weight (Kg)**. Note the weight handled in kg. If there are several weights, always consider the "worst case scenario".
- **Frequency of lifts (lifts per hour)**. Indicate the number of manipulations per hour.
- **Hand distance from the lower back**. Select one of the buttons to specify the horizontal distance between the worker's hands and the worker's lower back:
 - **Close**.
 - **Moderate**: Moderate: Hands at moderate distance from the low back. Upper arms angled away from torso.
 - **Moderate**: Hands at moderate distance from the low back. Torso bent forward.
 - **Far**. Hands far from the low back. Upper arms angled away from torso AND torso bent forward.

- **Vertical lift zones.** Select one of the buttons to specify the position of the worker's hands at the start of the lift and as the operation progresses:
 - Hands between knee and elbow height
 - Hands between knee and floor level OR hands between elbow height and head height
 - Hands at floor level or below
 - Hands at head height or above
- **Torso twisting and sideways bending.** Select one of the buttons to specify the torso of the worker as he/she lifts the load:
 - Little or no torso twisting or sideways bending
 - Torso twisted OR Torso bent sideways. The person twists the torso in relation to the hips OR leans to one side as the load is lifted.
 - Torso both twisted AND bent sideways. The torso both twists AND bends to the side as the load is lifted.
- **Postural constraints.** Select the corresponding option from the drop-down menu:
 - No postural constraints.
 - Restricted posture.
 - Severely restricted posture.
- **Grip on the load.** Select the corresponding option from the drop-down menu:
 - Good grip.
 - Reasonable grip.
 - Poor grip.
- **Floor surface.** Select the corresponding option from the drop-down menu:
 - Non-slip, dry, clean, firm, level and undamaged
 - Mostly dry and clean (damp or some debris), OR reasonably firm OR minor damage
 - Slippery (greasy, oily, wet, icy) OR much debris OR soft OR unstable OR severe damage OR inadequate footwear.
- **Environmental factors.** Select the corresponding option from the drop-down menu:
 - No factors
 - One factor
 - Two or more factors

Data - Carrying.

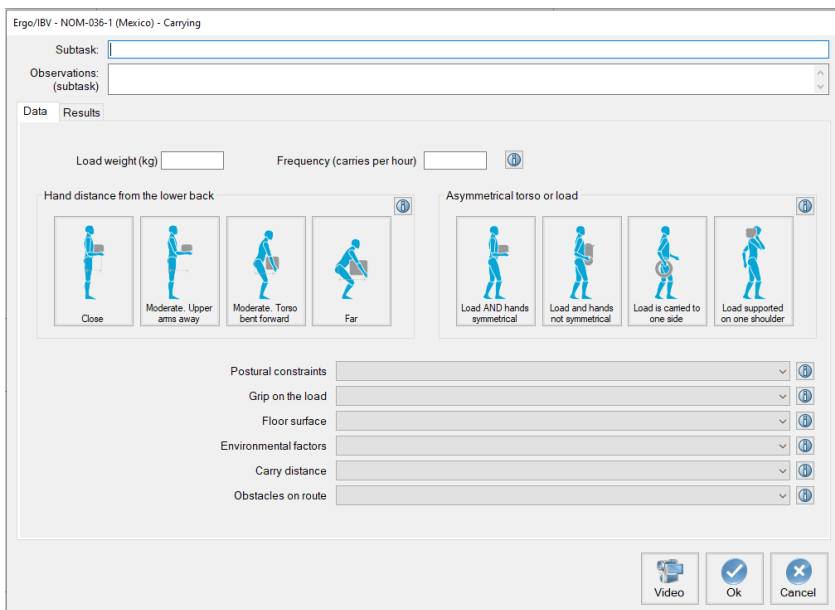


Figure 5: NOM-036-1 (Mexico) - Subtask data - Loads Carrying

- **Load weight (Kg)**. Note the weight carried in kg. If there are several weights, always consider the "worst case scenario".
- **Frequency of carries (carries per hour)**. Indicate the number of manipulations per hour.
- **Hand distance from the lower back**. Select one of the buttons to specify the horizontal distance between the worker's hands and the worker's lower back:
 - **Close**.
 - **Moderate**: Moderate: Hands at moderate distance from the low back. Upper arms angled away from torso.
 - **Moderate**: Hands at moderate distance from the low back. Torso bent forward.
 - **Far**. Hands far from the low back. Upper arms angled away from torso AND torso bent forward.
- **Asymmetrical load on the torso**. Select one of the buttons to specify how the load is carried with respect to the torso:
 - Load AND hands symmetrical in front of the torso

- Load AND hands not symmetrical
 - Carrying with one hand on one side of the person
 - Carrying a load on one shoulder.
- **Postural constraints.** Select the corresponding option from the drop-down menu:
 - No postural constraints.
 - Restricted posture.
 - Severely restricted posture.
- **Grip on the load.** Select the corresponding option from the drop-down menu:
 - Good grip.
 - Reasonable grip.
 - Poor grip.
- **Floor surface.** Select the corresponding option from the drop-down menu:
 - Good.
 - Reasonable.
 - Poor.
- **Environmental factors.** Select the corresponding option from the drop-down menu:
 - No factors
 - One factor
 - Two or more factors
- **Carry distance.** Select the corresponding option from the drop-down menu:
 - Between 2 m and 4 m
 - Between 4 m and 10 m
 - Over 10 m
- **Obstacles on route.** Select the corresponding option from the drop-down menu:
 - No obstacles AND carry route flat or slopes gently
 - One type of obstacle OR steep slope.
 - Ladders OR at least two types of obstacle.

Data – Team handling.

Ergo/IBV - NOM-036-1 (Mexico) - Team handling

Subtask:

Observations: (subtask)

Data Results

Load weight (kg) Number of workers performing the task:

Hand distance from the lower back

Close Moderate Far

Vertical lift zones

Between knee and elbow Below knee or above elbow Floor level or below

Torso twisted / torso bent sideways

Postural constraints

Grip on the load

Floor surface

Environmental factors

Communication, co-ordination and control

Video Ok Cancel

Figur6 6: NOM-036-1 (Mexico) – Subtask data – Team handling

- **Load weight (Kg).** Record the weight handled in kg. If there are several weights, always consider the "worst case scenario".
- **Number of workers performing the task.** Select the number of workers performing the task (2, 3 or 4).
- **Hand distance from the lower back.** Select one of the buttons to specify the horizontal distance between each worker's hands and the lower back. Always consider the "worst case scenario":
 - Close.
 - Moderate.
 - Far.
- **Vertical lift zones.** Select one of the buttons to specify the position of each worker's hands at the start of the lift and as the operation progresses:
 - Hands between knee and elbow height
 - Hands below knee AND/ OR above elbow height
 - Hands at floor level or below OR head height and above
- **Torso twisting and sideways bending.** Select one of the buttons to specify the torso of each worker as they lift the load:

- Little or no torso twisting or sideways bending
 - Torsos either twisted OR bent sideways.
 - Torsos both twisted AND bent sideways.
- **Postural constraints.** Select the corresponding option from the drop-down menu:
 - No postural constraints.
 - Restricted posture.
 - Severely restricted posture.
- **Grip on the load.** Select the corresponding option from the drop-down menu:
 - Good grip.
 - Reasonable grip.
 - Poor grip.
- **Floor surface.** Select the corresponding option from the drop-down menu:
 - Good
 - Reasonable
 - Poor
- **Environmental factors.** Select the corresponding option from the drop-down menu:
 - No factors
 - One factor
 - Two or more factors
- **Communication, co-ordination and control.** Select the corresponding option from the drop-down menu:
 - Good
 - Reasonable
 - Poor

Data - Pushing or pulling loads without equipment.

Ergo/IBV - NOM-036-1 (Mexico) - Pushing/pulling loads without wheels


Subtask:

Observations: (subtask)


Data Results

Load weight (kg)


Activity



Rolling



Churning



Dragging/hauling or sliding

Posture

Hand grip

Work pattern

Travel distance

Floor surface

Obstacles along the route

Other factors

Video Ok Cancel

Figure 7: NOM-036-1 (Mexico) – Subtask data – Pushing or pulling loads without equipment.

- **Load weight (Kg).** Note the mass of the load moved. If loads of different masses are moved, evaluate the activity with the load of greater mass.
- **Activity.** Select one of the buttons to specify the push/pull activity being performed⁶:
 - **Rolling** (e.g. pushing a cylinder).
 - **Churning.** Loads are moved by pivoting/rolling along the base edges (e.g. moving a drum by rotating it on its base).
 - **Dragging/hauling or sliding** (e.g. moving a box or a block).
- **Posture.** Select the corresponding option from the drop-down menu:

⁶ If two or more activities are performed (e.g. rolling and turning on its base), an assessment should be made for each type of activity.

- Good.
 - Reasonable.
 - Poor / Inadequate.
- **Hand grip.** Select the corresponding option from the drop-down menu:
 - Good.
 - Reasonable.
 - Poor / Inadequate.
- **Work Pattern.** Select the corresponding option from the drop-down menu:
 - Good.
 - Reasonable.
 - Poor / Inadequate.
- **Travel Distance.** Select the corresponding option from the drop-down menu:
 - Short. Between 2 m and 4 m
 - Medium. Between 4 m and 10 m
 - Long. Over 10 m
- **Floor surface.** Select the corresponding option from the drop-down menu:
 - Good.
 - Reasonable.
 - Poor / Inadequate.
- **Obstacles along the route.** Select the corresponding option from the drop-down menu:
 - Good.
 - Reasonable.
 - Poor / Inadequate.
- **Other factors.** Select the corresponding option from the drop-down menu:
 - Good.
 - Reasonable.
 - Poor / Inadequate.

Data - Pushing or pulling loads on wheeled equipment.

Ergo/IBV - NOM-036-1 (Mexico) - Pushing/pulling loads with equipment

Subtask:


Observations: (subtask)

Data Results


Load weight (kg)

Load exceeds equipment's rated capacity (manufacturer's recommended maximum weight)


Type of equipment



Small with one or two wheels



Medium, with three or more wheels



Large, steerable or running on rails

Posture

Hand grip

Work pattern

Travel distance

Condition of equipment

Floor surface

Obstacles along the route

Other factors

Figure 8: NOM-036-1 (Mexico) – Subtask data - Pushing or pulling loads on wheeled equipment.

- **Load weight (Kg)**. Note the mass of the load moved. If loads of different masses are moved, evaluate the activity with the load of greater mass.
- **Load exceeds equipment's rated capacity (manufacturer's recommended maximum weight)** Mark in case the mass moved exceeds the capacity indicated by the manufacturer for the equipment used⁷.

⁷ If this option is ticked, the activity is considered to be **Unacceptable**. In this case, the weight should be reduced or appropriate equipment should be provided. The activity should not be performed and the assessment should not continue until this condition has been modified.

- **Type of equipment.** Identify the type of equipment used⁸:
 - Small with one or two wheels.
 - Medium, with three or more fixed wheels and/or castors.
 - Large, steerable or running on rails.
- **Posture.** Select the corresponding option from the drop-down menu:
 - Good.
 - Reasonable.
 - Poor / Inadequate.
- **Hand grip.** Select the corresponding option from the drop-down menu:
 - Good.
 - Reasonable.
 - Poor / Inadequate.
- **Work Pattern.** Select the corresponding option from the drop-down menu:
 - Good.
 - Reasonable.
 - Poor / Inadequate.
- **Travel Distance.** Select the corresponding option from the drop-down menu:
 - Short. 10 m or less
 - Medium. Between 10 m and 30 m
 - Long. Over 30 m
- **Condition of equipment.** Select the corresponding option from the drop-down menu:
 - Good.
 - Reasonable.
 - Poor / Inadequate.
- **Floor surface.** Select the corresponding option from the drop-down menu:
 - Good.
 - Reasonable.
 - Poor / Inadequate.

⁸ If two or more types of equipment are used, an assessment should be carried out for each type of equipment.

- **Obstacles along the route.** Select the corresponding option from the drop-down menu:
 - Good.
 - Reasonable.
 - Poor / Inadequate.
- **Other factors.** Select the corresponding option from the drop-down menu:
 - Good.
 - Reasonable.
 - Poor / Inadequate.

Results (Figure 9). Once the data entry has been completed, the results of the assessment can be displayed in the Results tab.

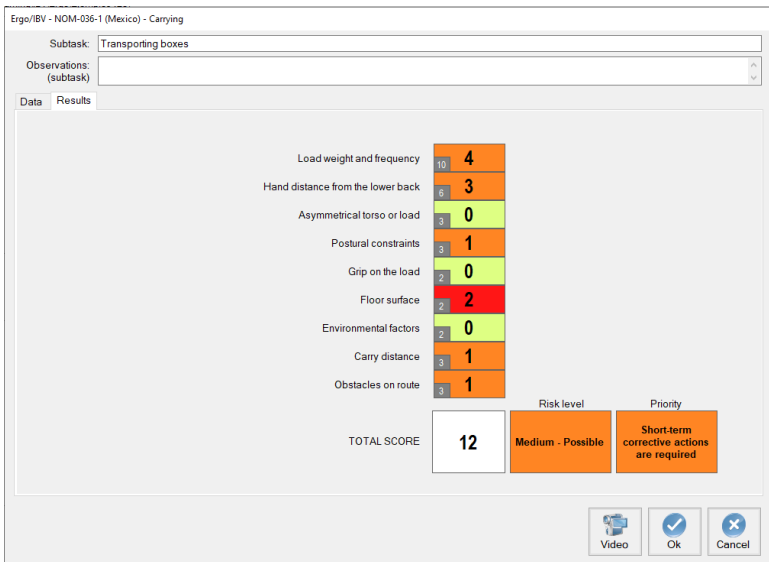


Figure 9: NOM-036-1 (Mexico) – Results

This screen displays:

- The scores corresponding to each of the variables, indicating with a colour code the level of risk they represent. In each score cell, in addition to the current value, the maximum possible value for that cell is shown in a small box. The interpretation of the colour codes is as follows:

- **Green** – Low risk level
 - **Orange** – Medium risk level
 - **Red** – High risk level
 - **Purple** – Very high risk level
- The total score for the analysed subtask. This score is the sum of the partial scores. In addition to the numerical value, the level of risk and the priority for intervention is indicated.

The interpretation of the total score is established according to the following table:

Total score	Risk level	Interpretation of the risk level
0 to 4	Low Acceptable –	<p>No corrective actions are required. The risk is zero or, although low, it is considered acceptable.</p> <ul style="list-style-type: none"> • Only the most vulnerable groups, such as pregnant women or under-age workers, need to be followed up.
5 to 12	Medium Possible –	<p>Short-term corrective actions are required. Although there is no high risk situation, activities should be examined in more detail.</p> <ul style="list-style-type: none"> • The tasks should be examined in more detail, either by applying a specific assessment, or by implementing control measures through an Ergonomics Programme for Manual Handling of Loads.
13 to 20	High Important –	<p>Corrective actions are required soon. A significant proportion of workers may be exposed to the risk of an occupational musculoskeletal disorder.</p> <ul style="list-style-type: none"> • Prompt action is required and control measures must be put in place through an Ergonomics Programme for manual handling of loads.

21 and over	Very high - Unacceptable	Corrective actions are required immediately. Such operations can pose a serious risk of injury and should be carefully examined and improved. <ul style="list-style-type: none">•Activities must be stopped and control measures must be implemented through an Ergonomics Programme for manual handling of loads.
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REPORT

Clicking the *Report* button in the main window of this module generates a report of the task that contains the following sections:

- General data.** It includes date, name of the task and the company, evaluator's observations, population, and age group considered in the case. If a photograph was added to the task, it will also appear in this section.

GENERAL DATA	
Date	21/03/2023
Task	Factory NOM036
Company	XXXX
Observations	
Population	Men
Age group	18-45 years

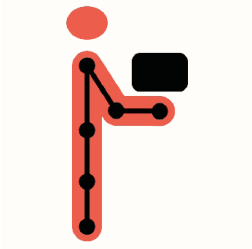


Figure 10: NOM-036-1 (Mexico) – Report -General Data

- Identification of activities** (Figure 11). This section includes descriptive information on the activity analysed, if completed.

IDENTIFICATION OF ACTIVITIES	
Name of the activity	Materials operation tasks
Description of the activity	Movement of miscellaneous materials in warehouses
Workers involved	10 workers
Frequency of the activity	Aprox 25 operations per hour
Duration of activities	All day

Figure 11: NOM-036-1 (Mexico) – Report- Identification of activities

- Subtask risk** (Figure 12). This section summarises the results obtained for all the subtasks analysed. For each subtask analysed, its name, the method used for its analysis and the results obtained for the total score and risk level are shown with their colour codes. The risk assessment interpretation table is included at the end of this section.

SUBTASK RISK			
Subtask	Type	Score	Risk Level
Placing parts	Lifting	17	High - Important
Transporting boxes	Carrying	12	Medium - Possible
Moving 30 kg sacks	Team handling	4	Low - Acceptable
Pushing rolls	Pushing/pulling loads without wheels	7	Medium - Possible
Handling with pallet truck mod A	Pushing/pulling loads with equipment	32	Very high - Unacceptable
Handling with pallet truck mod. B	Pushing/pulling loads with equipment	9	Medium - Possible

Interpretation of the Risk Level	
Low - Acceptable	(0 ≤ Score ≤ 4). No corrective actions required
Medium - Possible	(5 ≤ Score ≤ 12). Short-term corrective actions are required
High - Important	(13 ≤ Score ≤ 20). Corrective actions are required soon
Very high - Unacceptable	(21 ≤ Score). Corrective actions are required immediately

Assessment performed by:

Figure 12: NOM-036-1 (Mexico) – Report – Subtask Risk

- Subtask detail** (Figure 13). Each subtask is headed with its name and the observations for the subtask. Then the detail of the results obtained in the analysis is shown, including the name, data entered and rating of each of the items considered.

Finally, the total risk level of the subtask is indicated.

RISK ASSESSMENT REPORT

SUBTASK DETAIL

Subtask: Placing parts

Type: Lifting

Observations:

Results

Item assessed	Data entered	Score
Load weight and frequency	35,0 Kg 60,000 Lifts per hour WARNING: Handled mass exceeds the maximum admissible limit by age and gender	10 6
Hand distance from the lower back	Far: Hands far from the low back. Upper arms angled away from torso AND torso bent forward	6 6
Vertical lift zones	Hands between knee and floor level OR hands between elbow height and head height	3 1
Torso twisting and sideways bending	Torso twisted OR bent sideways	2 1
Postural constraints	Restricted posture	3 1
Grip on the load	Good grip	2 0
Floor surface	Reasonable floor surface. Mostly dry and clean, reasonably firm, minor damage	2 1
Environmental factors	One factor	2 1
TOTAL SCORE		17

Risk level **High - Important**

Priority **Corrective actions are required soon**

Figure 13: NOM-036-1 (Mexico) – Report – Subtask detail